Sheet 1 of 1

<b>Substitute Form PTO-1449</b> (Modified)  <b>Information Disclosure Statement</b> <b>by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>	<b>Attorney's Docket No.</b> 17146-009001	<b>Application No.</b> 10/826,453
	<b>Applicant</b> Stephen K. Pinto et al.		
	<b>Filing Date</b> April 16, 2004	<b>Group Art Unit</b> 2123	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RF	AA	6,956,941	10/18/2005	Duncan et al.	379	265.01	
RF	AB	6,859,529	2/22/2005	Duncan et al.	279	266.1	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

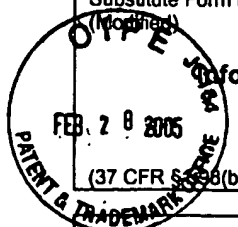
Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
RF	AL	2,241,119	2002/03/07	Canada	—	—		
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

<b>Examiner Signature</b> /Russell Frejd/	<b>Date Considered</b> 09/03/2006
<b>EXAMINER:</b> Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17146-009001	Application No. 10/826,453
<b>Information Disclosure Statement</b> <b>by Applicant</b> (Use several sheets if necessary) (37 CFR § 1.96(b))		Applicant <b>Stephen K. Pinto et al.</b>	
		Filing Date <b>April 16, 2004</b>	Group Art Unit <b>2123</b>

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RF	AA	5,692,107	11/25/1997	Simoudis et al.			
	AB	5,826,250	10/20/1998	Trefler			
	AC	6,185,543	02/06/2001	Galperin et al.			
	AD	6,269,325	07/31/2001	Lee et al.			
	AE	6,317,752	11/13/2001	Lee et al.			
	AF	6,640,215	10/28/2003	Galperin et al.			
	AG	6,542,894	04/01/2003	Lee et al.			
	AH	6,631,360	10/07/2003	Cook			
	AI	6,782,390	08/24/2004	Lee et al.			
RF	AJ	2002/0052836	05/02/2002	Galperin et al.			
	AK	2003/0018601	01/23/2003	Lee et al.			
	AL						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
RF	AM	WO 99/22328	05/06/1999	WIPO	—	—		
	AN							
	AO							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
RF	AP	Commercial software: SPSS Clementine: <a href="http://www.spss.com/Clementine/index.htm">www.spss.com/Clementine/index.htm</a>
	AQ	"On-line Seminar: How to keep customers and increase profits using predictive analytics powered by Clementine", <a href="http://www.spss.com/spssbi/directresponse/clementinewebinar/index.cfm?dcode=d4183">www.spss.com/spssbi/directresponse/clementinewebinar/index.cfm?dcode=d4183</a>
	AR	"SAS Enterprise Miner", <a href="http://www.sas.com/technologies/analytics/datamining/miner">www.sas.com/technologies/analytics/datamining/miner</a>
	AS	SAS JMP, <a href="http://www.jmp.com">www.jmp.com</a>
RF	AT	Clifford C. Clogg, et al., "Multiple Imputation of Industry and Occupation Codes in Census Public-Use Samples Using Bayesian Logistic Regression", <u>Journal of the American Statistical Association</u> , Vol. 86, No. 413, pp. 68-78 (March 1991)

Examiner Signature	Date Considered
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<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Stephen K. Pinto et al.	
		Filing Date April 16, 2004	Group Art Unit 2123

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
RF	BA	Roderick J.A. Little et al., <u>Statistical Analysis with Missing Data (2<sup>nd</sup> Edition)</u> , John J. Wiley & Sons, Inc., (2002), pp. 19-22; 166-188; and 292-309.
RF	BB	Donald B. Rubin, "Using Empirical Bayes Techniques in the Law School Validity Studies", <u>Journal of the American Statistical Association</u> , Vol. 75, No. 372, pp. 801-816 (December 1980)
	BC	
	BD	

Examiner Signature /Russell Frejd/	Date Considered 09/03/2006
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